



# SC Midlands Chapter 54 of ISACA

## February 2010 Newsletter

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### Clemson Beta Alpha Psi Meeting

The ISACA SC Midlands group will be presenting at the Beta Alpha Psi meeting on February 25th at Clemson University. Beta Alpha Psi is an honorary organization for financial information students and professionals. The organization's primary objective is to encourage and recognize scholastic and professional excellence in the business information field. One of ISACA's goals is to engage students and make them aware of the resources available from the organization. The meeting will take place on the University campus. For more information about the Beta Alpha Psi organization, contact Richard Dull, Associate Professor of Accounting, at 864-656-0610 or

### From the President

**We want to welcome aboard a couple of new board members!** Kathy Riley has taken on the position of Vice-President since Kathleen Deprez-Hall had to move away to Minnesota. Additionally, Chris Kroll has stepped up to help us out in Marketing our chapter. Chris Oder, our newsletter editor, has taken over for Bruce Havens who retired from our board this year. Please welcome each of these folks at our meetings and make sure to bring your ideas for anything about the chapter to the attention of any of our officers and board members. Check out the bios on the officer's page.

**Registration is open for our February class on how to design a business continuity plan.** The early bird special is over, but regular registration has begun - click on future events now and sign up! Class will be held at the SC Pharmacy Facility located at 1350 Browning Road, Columbia SC, 29210.

#### Here is what you will learn:

All business continuity programs should contain basic elements in order to achieve the primary goal, which is to ensure that the organization continues to provide service and support for its customers and maintains its viability before, during, and after an event that interrupts operations. During this presentation we will examine these elements in detail, as well as approaches for establishing a business continuity program.

#### **Calling all Certified Information System Managers!**

We have had requests to run a CISM boot camp this spring to help those wishing to sit for the exam. ISACA International will provide the instruction materials, but we need you to help teach it! Please consider donating a few hours to teach a chapter. You will earn CPEs when you teach for us. Please contact myself or Dave Gorney to sign up as an instructor.

Sincerely,  
Sue Rusher  
2009 - 2010 President

### Elements of a Business Continuity Program (3 CPEs)

**Instructor:** Mike Upfield  
**Date:** February 3, 2010  
**Time:** 1:00 pm to 4:00 pm (Snack served)  
**CPEs:** 3 CPEs  
**Location:** SC Pharmacy Association  
1350 Browning Road  
Columbia SC, 29210

#### **What you will learn:**

All business continuity programs should contain basic elements in order to achieve the primary goal, which is to ensure that the organization continues to provide service and support for its customers and maintains its viability before, during, and after an event that interrupts operations. During this presentation we will examine these elements in detail, as well as approaches for establishing a business continuity program.

#### **Pricing:**

Late Registration: January 27, 2010 - February 2, 2010  
\$40 - SC Midlands ISACA Members  
\$45 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.  
\$80 - Non-Members

[rdull@clmson.edu](mailto:rdull@clmson.edu).

## ISACA of the Midlands Needs Your Help!

In an effort to bring a greater awareness to ISACA and our chapter, the Midlands Chapter of ISACA will begin attending local trade shows and other similar events where our current and potential member base can be tapped into. Unfortunately, there is no single reference that lists all of the events being hosted in our area and that's where you come in. Since our membership base is quite diverse and touches most of the major industries, we would like to hear from you when you receive information for relevant events coming to South Carolina. Please forward any relevant event information that you receive to Chris Kroll [dkroll1@sc.rr.com](mailto:dkroll1@sc.rr.com). We thank you for your assistance and look forward to seeing our chapter grow.

## Western Carolina IIA Upcoming Events

### Luncheon Meeting - February 16th at Stax Grill

For Western Carolina IIA's next meeting, they will have Bailey Jordan from Grant Thornton coming to discuss ERM and risk assessments. This is a topic that is becoming increasingly important to our organizations and to internal audit. Because of the nature of the topic, they have set aside two hours for the meeting from 11:00 a.m. to 1:15 p.m.

### Joint meeting with IMA - April 22

The IMA is preparing to hold a four-hour CPE event in late April and has invited the WCIIA to participate. More details for the event will be provided but this is another item for your calendar.

For more information on these events please contact WCIIA's Wade Kent [wade.kent@scansource.com](mailto:wade.kent@scansource.com).

## Upcoming Palmetto IIA Events

**February 16, 2010** Presentation and Facilitation Skills for Internal Auditors

**Mike Upfield, CBCP**, is the Manager of Business Continuity and Crisis Management for BlueCross BlueShield of South Carolina (BlueCross). He has been with BlueCross since 1993, and has worked with a number of areas including Government Programs anti-fraud efforts and statistical analysis prior to developing the in-house Business Continuity Program beginning in 2004.

Prior to joining BlueCross, Mike worked as a production engineer where he was involved in projects incorporating information technology into manufacturing processes. Mike holds an M.B.A. from the University of South Carolina and a Bachelors degree in Engineering and Computer Science from Vanderbilt University.

## "The Privacy Landscape" and "Is Your Network Safe?" (3 CPEs)

The Privacy Landscape - Instructor: Linda Weeks

Is Your Network Safe? - Instructor: Dell Collins II

**Date:** March 3, 2010

**Time:** 1:00 pm to 4:00 pm (Snack Served)

**CPEs:** 3 CPEs

**Location:**

BlueCross BlueShield of SC  
4101 Percival Road, 2nd Floor Hub  
Columbia, SC 29219

What you will learn:

### The Privacy Landscape

What is Privacy? Why do you need to protect it? How can you protect it? Linda will explore the essential aspects of the various privacy laws. She will discuss what has happened to others who did not do enough to protect the privacy of others and offer best practices you can use to safeguard privacy.

### Is your Network Safe? A new look at an old list.

With the changing times and the current shrinking digital divide it has become necessary to take a fresh look at the old infrastructure security philosophies and best practices. This presentation is designed to leave the audience with the knowledge to begin asking hard questions regarding the security of their infrastructure; and with the ability to begin having earnest dialogs to address the current defense of critical information and systems with those who are responsible for the security of their company infrastructure.

**Pricing:**

Early Bird: August 20, 2009 - February 4, 2010

\$20 - SC Midlands ISACA Members

\$25 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.

\$40 - Non-Members

Regular Registration: February 5, 2010 - February 25, 2010

\$30 - SC Midlands ISACA Members

\$35 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.

\$60 - Non-Members

Late Registration: February 26, 2010 - March 2, 2010

\$40 - SC Midlands ISACA Members

\$45 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.

\$80 - Non-Members

**Linda Weeks** is the Manager of the Corporate Privacy Office for BlueCross BlueShield of South Carolina, a position she has held for the past six years. In this role, she is charged with overseeing the development and execution of the corporate HIPAA privacy compliance program for BlueCross BlueShield of South Carolina and its subsidiaries. Linda holds a certification as an Information Privacy Professional through the International Association of Privacy Professionals.

Prior to BlueCross BlueShield of South Carolina, Linda was the Information Systems Director of Public Programs for APS Healthcare and owner of a computer consulting company providing software development and network installation and support services.

**March 16, 2010** Audit and Control of Spreadsheets

**April 13, 2010** Fraud - Advanced Concepts for Internal Auditors  
A full-day, 8 CPE seminar, presented by John J. Hall. Includes best practices, data analysis, fraud-based interviewing, and the auditor's role in investigations. More information to come.

**May 18, 2010** Internal Audit 101: Back to Basics

For more information please see the Palmetto IIA's [Meetings and Events page](#).

## ACFE Palmetto Chapter Meeting

For their first meeting of 2010, the Palmetto Chapter of the Association of Certified Fraud Examiners are fortunate to have a very knowledgeable speaker from the Georgia Chapter of the ACFE who will conduct a presentation for the Chapter. The following are the details of the training:

**Speaker:** Wayne van Halem, CFE, AHFI

**Title:** Healthcare Fraud, Waste, and Abuse: The problem and the effect

**Credit:** 1 hour CFE credit

**Date:** February 2, 2010

**Time:** 11:30 - 1:00

**Location:** Seawell's Food Caterers, Inc.

**Cost:** \$20.00 for members of the Columbia Chapter  
\$25.00 for non-members  
(Cash or check only)

This amount includes the cost of lunch and the training.

For more information on the training or if you want to join the ACFE Palmetto Chapter please contact Jennifer Armstrong-Day at [jennifer.armstrong@tricenturion.com](mailto:jennifer.armstrong@tricenturion.com)

## Tips and Tricks

Kareem Briggs, CISA, sent in the following tip:

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### Wow! Guess what I found?

I stumbled across a great site that can help you save time on your audit or risk management projects. Now, you may have already heard of this site but for

**Dell Collins II** has over 20 years of experience in infrastructure security and white-hat hacking.

## ITIL: An IT Governance Enabler (3 CPEs)

### Presented by George Spalding

Executive VP - Global Events, Conference Chair & ITIL V3 Author Pink Elephant

**Date:** April 7, 2010

**Time:** 1:00 pm to 4:00 pm

**Location:** SC Pharmacy Association  
1350 Browning Road, Columbia SC, 29210

**CPEs:** 3 CPEs

### What you will learn:

Many organizations are adopting an overall IT Governance framework such as Control Objectives for Information and Related Technology (CoBIT). However, the question "how can ITIL (Information Technology Infrastructure Library) enable these governance principles?" soon arises. This session covers a view of how CoBIT's framework and ITIL align and mutually complement each other. George will also provide valuable insight into how you can effectively use CoBIT and ITIL as part of an overall service improvement initiative.

### Pricing:

Early Bird: September 30, 2009 - March 5, 2010

\$30 - SC Midlands ISACA Members

\$35 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.

\$50 - Non-Members

Regular Registration: March 5, 2010 - March 31, 2010

\$40 - SC Midlands ISACA Members

\$45 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.

\$60 - Non-Members

Late Registration: April 1, 2010 - April 6, 2010

\$50 - SC Midlands ISACA Members

\$55 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.

\$80 - Non-Members

**George Spalding** is the co-author of ITIL(r) V3's Continual Service Improvement core volume, and is one of the world's most insightful and engaging IT Service Management and Support experts. In addition to his extensive commitment to improving the industry, George spent several years as a consultant to the White House on technical presentations and White House conferences. He also coordinated technical presentations for members of the President's cabinet, the Smithsonian Institute, and the Federal Bureau of Investigation. George is an ITIL Expert, the highest level in the ITIL certification program, is a regular author of IT articles and white papers, and is a presenter at global ITSM conferences and events.

## Microsoft SQL Server Security and Auditing (4 CPEs)

### Presented by Brian Kelley

Microsoft MVP - SQL Server

**Date:** June 2, 2010

### Time:

Registration is at 11:45 a.m.

Lunch served at 12:00 p.m.

Class 1:00 p.m. to 5:00 p.m.

**Location:** BlueCross BlueShield of South Carolina Tower Auditorium at Alpine Road and I-20 in Columbia, SC

**CPEs:** 4 CPEs

### Price:

Early Bird: January 11 - May 6, 2010

those who are not aware...you may want to check it out. It is stock-piled with useful tools such as work programs, guides, checklists, questionnaires, etc. This may be the site for you if you do not want to reinvent the wheel.

This is a subscription based site but you can currently sign up for a 30 day free trial to poke around. As the site states, it will help internal auditors and risk management professionals save time, manage risk, and add value.

Check it out @ [www.knowledgeleader.com](http://www.knowledgeleader.com).

So far, I have found some great information and hope that you are able to use it to your advantage.

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Got a tip or trick you'd like to share? Send it to [newsletter@scisaca.org](mailto:newsletter@scisaca.org) and it could be featured in next month's newsletter!

## Featured Article

Gordon Smith, Canaudit Co-Founder, has an insightful article in the latest issue of Canaudit's newsletter the Canaudit Perspective. The article, entitled "Is Your Organization Ready for the Audit Challenges of 2010?", covers the following topics of interest:

- Increase in electronic frauds
- Compliancy does not mean network is secure
- Need for thorough use of audit and security tools
- IT Audit skill sets seem to be degrading
- Audit reports not conveying the information executives need to understand risks
- Providing metrics to Management
- Need for auditors and security staff to refocus their efforts

The full article can be found here: [Canaudit Perspective Volume 11 Issue 1](#).

## ISACA Bookstore Update

The one-stop shopping guide to high-quality books and technical reference materials-the

\$30 - SC Midlands ISACA Members  
\$35 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.  
\$50 - Non-Members

Regular Registration: May 7, 2010 - May 27, 2010  
\$40 - SC Midlands ISACA Members  
\$45 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.  
\$60 - Non-Members

Late Registration: May 28, 2010 - June 1, 2010  
\$50 - SC Midlands ISACA Members  
\$55 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.  
\$80 - Non-Members

### Course Description:

In this 4 hour course, K. Brian Kelley (CISA, MCSE, Security+, Microsoft MVP - SQL Server) will explain the security model for the Microsoft SQL Server database engine, how it interacts with the Windows operating system, which security features are available in each version and edition of SQL Server, what backup/restore features are available in each version and edition of SQL Server, and how to audit for permissions and rights across SQL Server 2000 through 2008.

### Biography:

Brian is a SQL Server author, columnist, and Microsoft MVP focusing primarily on SQL Server security. He is a contributing author for How to Cheat at Securing SQL Server 2005 (Syngress) and Professional SQL Server 2008 Administration (Wrox). Brian currently serves as a database administrator / architect for AgFirst Farm Credit Bank where he concentrate on his passion: SQL Server. He previously was a systems and security architect for AgFirst Farm Credit Bank where he worked on Active Directory, Windows security, VMware, and Citrix. In the technical community, Brian is president of the Midlands PASS Chapter, an official chapter of PASS. Brian is also a junior high youth minister at Spears Creek Baptist Church in Elgin, SC.

### Relevant Links:

Professional Site:  
<http://www.truthsolutions.com/>

Articles at SQL Server Central:  
[http://www.sqlservercentral.com/Authors/Articles/Brian\\_Kelley/4995/](http://www.sqlservercentral.com/Authors/Articles/Brian_Kelley/4995/)  
Articles at MSSQLTips.com:  
<http://www.mssqltips.com/author.asp?authorid=25>

Professional Blog: [http://www.sqlservercentral.com/blogs/brian\\_kelley/](http://www.sqlservercentral.com/blogs/brian_kelley/)

LinkedIn Profile:  
<http://www.linkedin.com/in/kbriankelley>

Twitter:  
<http://twitter.com/kbriankelley/>

## ISACA Announces New CRISC Certification for Risk Professionals

Rolling Meadows, IL, USA (13 January 2010)-ISACA, a global association of 86,000 IT audit, risk, governance and security professionals, is responding to market demand by introducing a new risk-related certification. The Certified in Risk and Information Systems Control (CRISC) designation is for IT professionals who identify and manage risks through the development, implementation and maintenance of information systems (IS) controls. These professionals help enterprises accomplish business objectives such as effective and efficient operations, reliable financial reporting, and compliance with regulatory requirements.

A grandfathering program, through which experienced professionals can earn the certification without passing an exam, will open in April. The first CRISC exam will be administered in 2011.

ISACA established CRISC (pronounced "see risk") to recognize IT professionals with skills and abilities related to:

- Risk identification, assessment and evaluation

ISACA Bookstore  
Catalog 2010-was mailed with  
volume 1, 2010, of the  
ISACA® Journal. With this new,  
expanded catalog,  
ISACA members can choose the  
books they need to  
stay informed in the IS audit,  
control, information security  
and IT governance fields. All the  
titles have been peerreviewed,  
ensuring a high-quality, reliable  
source for  
material.

Explore ISACA's newest  
deliverables:

- Implementing and Continually  
Improving IT  
Governance
- COBIT® and Application  
Controls: A Management  
Guide.
- Value Management Guidance  
for Assurance  
Professionals: Using Val IT™ 2.0
- The Risk IT Framework
- The Risk IT Practitioner Guide
- Examination reference materials  
developed by ISACA  
for the Certified Information  
Systems Auditor™  
(CISA®), Certified Information  
Security Manager®  
(CISM®) and Certified in the  
Governance of Enterprise  
IT® (CGEIT®) June and  
December 2010 exams.
- Security, Audit and Control  
Features SAP® ERP,  
3rd Edition
- Security, Audit and Control  
Features Oracle®  
Database, 3rd Edition
- SharePoint Deployment and  
Governance Using  
COBIT® 4.1: A Practical  
Approach

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offerings, visit  
[www.isaca.org/bookstore](http://www.isaca.org/bookstore) or see  
the ISACA Journal  
Bookstore insert for additional  
information. Contact the  
Bookstore at  
[bookstore@isaca.org](mailto:bookstore@isaca.org) or  
+1.847.660.5650.

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- Risk response
- Risk monitoring
- IS control design and implementation
- IS control monitoring and maintenance

"The CRISC designation will demonstrate to employers that the certification holder is able to identify and evaluate the risks unique to a specific organization and help the enterprise accomplish its business objectives by designing, implementing, monitoring and maintaining risk-based, efficient and effective IS controls," said Urs Fischer, chair of ISACA's CRISC Task Force. "We conducted an extensive amount of research globally and found that enterprises are becoming more risk-aware and are looking to identify professionals who possess the skills to help them protect their assets and enhance their businesses. CRISC fills a gap that currently exists in the marketplace."

CRISC complements ISACA's three existing certifications: Certified Information Systems Auditor (CISA), established in 1978 and earned by more than 70,000 professionals since its inception; Certified Information Security Manager (CISM), earned by more than 12,000 professionals since it was launched in 2002; and the newer Certified in the Governance of Enterprise IT (CGEIT), earned by more than 4,000 professionals since it was developed in 2006:

- CISA is designed for IT professionals who perform independent reviews of control design and operational effectiveness; CRISC is for IT and business professionals who identify, evaluate and manage risk, and design, implement and maintain IS controls.
- CISM is for individuals who manage, design, oversee and/or assess an enterprise's information security, including the identification and management of information security risks; CRISC is for IT professionals whose roles also encompass operational and compliance considerations.
- CGEIT is for IT and business professionals who have a significant management, advisory or assurance role relating to the governance of IT, including risk management; CRISC is for IT and business professionals who are engaged at an operational level to mitigate risk.

Additional information about the CRISC certification is available at  
[www.isaca.org/crisc](http://www.isaca.org/crisc)